

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

**SERIAL NO. 09/918,563**

IBM DOCKET NO. AUS920010562US1

DATE: April 5, 2005

DATE: April 5, 2005

Number of Pages to Follow (including this cover sheet) 5

SEND TO: United States Patent Office

**Examiner:**

**Group Art Unit:** 2681

**Tel No:**

**Fax No:** 703-872-9306

**FROM:** Leslie A. Van Leeuwen  
Van Leeuwen & Van Leeuwen  
Registered Patent Attorneys  
6123 Pebble Garden Court  
Austin, Texas 78739  
**Tel No:** 512-301-6738  
**Fax No:** 512-301-6742

**RECEIVED**  
**CENTRAL FAX CENTER**  
**APR 05 2005**

THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ADDRESS ABOVE VIA THE U.S. POSTAL SERVICE. THANK YOU.

Docket No. AUS920010562US1

Serial No. 09/918,563

Atty: JSL / JVL

Applicant: Barillaud

<input checked="" type="checkbox"/> Transmittal Letter (2 copies)	<input checked="" type="checkbox"/> Certificate of Facsimile
<input type="checkbox"/> Amendment ( <u>    </u> pages)	<input type="checkbox"/> Notice of Appeal
<input type="checkbox"/> Amendment AF	<input type="checkbox"/> Appeal Brief (3 copies)
<input type="checkbox"/> Ext. of Time	<input type="checkbox"/> Reply Brief
<input checked="" type="checkbox"/> IDS Statement	<input type="checkbox"/> Change of Address
<input checked="" type="checkbox"/> Form PTO-1449 (Modified)	
<input type="checkbox"/> Other <u>                                </u>	

Deposit Acct. No. 09-0447

Fees: Amendment          Notice of Appeal          Appeal Brief          Other \$ 0.00

Atty Ref. No. IBM-1033

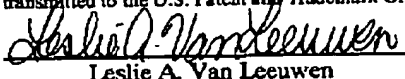
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

**SERIAL NO. 09/918,563**

IBM DOCKET NO. AUS920010562US1

DATE: April 5, 2005

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

<b>Certificate of Mailing or Transmission</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.	
 Leslie A. Van Leeuwen	<u>4/5/05</u> Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Barillaud

For: System and Method for Providing Efficient and Secure Data Exchange Using Strip Information Elements


Enclosed are:

- ☒ Information Disclosure Statement;
- ☒ Modified form 1449 listing 3 references;
- copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

- ☒ This IDS is being filed pursuant to CFR § 1.197(b), therefore no fee as set forth in § 1.17(p) is believed to be due. If, however, more than three months have elapsed since the filing date and a first Office action on the merits has already been mailed, then please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.
- ☒ Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By   
Leslie A. Van Leeuwen  
Attorney for Applicant  
Registration No. 42,196  
Telephone: (512) 301-6738  
Facsimile: (512) 301-6742

Atty Ref. No. IBM-1033

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

**SERIAL NO. 09/918,563**

IBM DOCKET NO. AUS920010562US1

DATE: April 5, 2005

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

<b>Certificate of Mailing or Transmission</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.	
<i>Leslie A. Van Leeuwen</i> Leslie A. Van Leeuwen	<u>4/5/05</u> Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Barillaud

For: System and Method for Providing Efficient and Secure Data Exchange Using Strip Information Elements

Enclosed are:

- ☒ Information Disclosure Statement;
- ☒ Modified form 1449 listing 3 references;
- copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

☒ This IDS is being filed pursuant to CFR § 1.197(b), therefore no fee as set forth in § 1.17(p) is believed to be due. If, however, more than three months have elapsed since the filing date and a first Office action on the merits has already been mailed, then please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.

☒ Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By *Leslie A. Van Leeuwen*  
Leslie A. Van Leeuwen  
Attorney for Applicant  
Registration No. 42,196  
Telephone: (512) 301-6738  
Facsimile: (512) 301-6742

Atty Ref. No. IBM-1033

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

**SERIAL NO. 09/918,563**

IBM DOCKET NO. AUS920010562US1

DATE: April 5, 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:	: Group Art Unit: 2681
Barillaud	: Intellectual Property Law Department
Serial No.: 09/918,563	: International Business
Filed: July 31, 2001	: Machines Corporation
Title: <u>System and Method for Providing</u>	: Intellectual Property Law Dept.
<u>Efficient and Secure Data Exchange</u>	: 11400 Burnet Road
<u>Using Strip Information Elements</u>	: Austin, Texas 78758

RECEIVED  
CENTRAL FAX CENTER

APR 05 2005

**INFORMATION DISCLOSURE STATEMENT**

The Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

By 

Leslie A. Van Leeuwen  
Van Leeuwen & Van Leeuwen  
Attorneys for Applicant  
Registration No. 42,196  
Telephone: (512) 301-6738  
Facsimile: (512) 301-6742

Page 1 of 1

Atty Ref. No. IBM-1033

In Place of FORM PTO-1449 (Modified)

Serial No. 09/918,563

Applicant: Barillaud

Filing Date: 7/31/2001

Group: 2681

Atty. Docket No. AUS920010562US1

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

**U. S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Classification Class / Subclass	Filing Date if Appropriate
AA	6,622,157	09-2003	Heddaya et al.	709/202	
AB	6,148,327	11-2000	Whitebread et al.	709/202	
AC	6,233,601	05-2001	Walsh, Thomas C.	709/202	
AD					
AE					
AF					
AG					
AH					
AI					
AJ					
AK					
AL					

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Classification	Translation Yes No
AM					
AN					
AO					
AP					

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner Initial	
AQ	
AR	
AS	
AT	
AU	

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.